

## **Exhibit B**

# REDACTED

## CUSTOMER CLAIM

Claim Number \_\_\_\_\_

Date Received \_\_\_\_\_

### BERNARD L. MADOFF INVESTMENT SECURITIES LLC

In Liquidation

DECEMBER 11, 2008

(Please print or type)

Name of Customer: Melton Family LLC  
Mailing Address: 20 Balfour Drive  
City: West Hartford State: CT Zip: 06117  
Account No.: 1ZA894  
Taxpayer I.D. Number (Social Security No.): 1416

**NOTE: BEFORE COMPLETING THIS CLAIM FORM, BE SURE TO READ CAREFULLY THE ACCOMPANYING INSTRUCTION SHEET. A SEPARATE CLAIM FORM SHOULD BE FILED FOR EACH ACCOUNT AND, TO RECEIVE THE FULL PROTECTION AFFORDED UNDER SIPA, ALL CUSTOMER CLAIMS MUST BE RECEIVED BY THE TRUSTEE ON OR BEFORE March 4, 2009. CLAIMS RECEIVED AFTER THAT DATE, BUT ON OR BEFORE July 2, 2009, WILL BE SUBJECT TO DELAYED PROCESSING AND TO BEING SATISFIED ON TERMS LESS FAVORABLE TO THE CLAIMANT. PLEASE SEND YOUR CLAIM FORM BY CERTIFIED MAIL - RETURN RECEIPT REQUESTED.**

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1. Claim for money balances as of **December 11, 2008**:

- a. The Broker owes me a Credit (Cr.) Balance of \$ 0
- b. I owe the Broker a Debit (Dr.) Balance of \$ 0
- c. If you wish to repay the Debit Balance,  
please insert the amount you wish to repay and  
attach a check payable to "Irving H. Picard, Esq.,  
Trustee for Bernard L. Madoff Investment Securities LLC."  
If you wish to make a payment, it **must be enclosed**  
with this claim form. \$ \_\_\_\_\_
- d. If balance is zero, insert "None." None

2. Claim for securities as of **December 11, 2008**:

**PLEASE DO NOT CLAIM ANY SECURITIES YOU HAVE IN YOUR POSSESSION.**

	<u>YES</u>	<u>NO</u>
a. The Broker owes me securities	<u>X</u>	
b. I owe the Broker securities		<u>X</u>
c. If yes to either, please list below:		

Date of Transaction (trade date)	Name of Security	The Broker Owes Me (Long)	I Owe the Broker (Short)
See Nov. 30, 2008 ZA894 statements, attached hereto as Exhibit A			
	\$2,301,573.17 (market value of securities long, per ZA894-3 statement)		
	79,200.00 (market value of securities long, per ZA894-4 statement)		
	(111,840.00) (market value of securities short per ZA894-4 statement)		
Total	\$2,268,933.17		

Proper documentation can speed the review, allowance and satisfaction of your claim and shorten the time required to deliver your securities and cash to you. Please enclose, if possible, copies of your last account statement and purchase or sale confirmations and checks which relate to the securities or cash you claim, and any other documentation, such as correspondence, which you believe will be of assistance in processing your claim. In particular, you should provide all documentation (such as cancelled checks, receipts from the Debtor, proof of wire transfers, etc.) of your deposits of cash or securities with the Debtor from as far back as you have documentation. You should also provide all documentation or information regarding any withdrawals you have ever made or payments received from the Debtor.

See Exhibits A and B, and documents submitted herewith.

Please explain any differences between the securities or cash claimed and the cash balance and securities positions on your last account statement. If, at any time, you complained in writing about the handling of your account to any person or entity or regulatory authority, and the complaint relates to the cash and/or securities that you are now seeking, please be sure to provide with your claim copies of the complaint and all related correspondence, as well as copies of any replies that you received.

**PLEASE CHECK THE APPROPRIATE ANSWER FOR ITEMS 3 THROUGH 9.**

**NOTE: IF "YES" IS MARKED ON ANY ITEM, PROVIDE A DETAILED EXPLANATION ON A SIGNED ATTACHMENT. IF SUFFICIENT DETAILS ARE NOT PROVIDED, THIS CLAIM FORM WILL BE RETURNED FOR YOUR COMPLETION.**

- |   | <u>YES</u> | <u>NO</u> |
|---|------------|-----------|
| 3. Has there been any change in your account since December 11, 2008? If so, please explain.  | _____      | <u>X</u>  |
| 4. Are you or were you a director, officer, partner, shareholder, lender to or capital contributor of the broker?   | _____      | <u>X</u>  |
| 5. Are or were you a person who, directly or indirectly and through agreement or otherwise, exercised or had the power to exercise a controlling influence over the management or policies of the broker? | _____      | <u>X</u>  |
| 6. Are you related to, or do you have any business venture with, any of the persons specified in "4" above, or any employee or other person associated in any way with the broker? If so, give name(s)    | _____      | <u>X</u>  |
| 7. Is this claim being filed by or on behalf of a broker or dealer or a bank? If so, provide documentation with respect to each public customer on whose behalf you are claiming.                         | _____      | <u>X</u>  |
| 8. Have you ever given any discretionary authority to any person to execute securities transactions with or through the broker on your behalf? Give names, addresses and phone numbers.                   | _____      | <u>X</u>  |
| 9. Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker.  | _____      | <u>X</u>  |

Please list the full name and address of anyone assisting you in the preparation of this claim form: Joshua Keller, Esq., Milberg LLP  
One Pennsylvania Plaza, New York, New York 10119

9. Have you or any member of your family ever filed a claim under the Securities Investor Protection Act of 1970? if so, give name of that broker. \_\_\_\_\_

Please list the full name and address of anyone assisting you in the preparation of this claim form: \_\_\_\_\_

If you cannot compute the amount of your claim, you may file an estimated claim. In that case, please indicate your claim is an estimated claim.

**IT IS A VIOLATION OF FEDERAL LAW TO FILE A FRAUDULENT CLAIM. CONVICTION CAN RESULT IN A FINE OF NOT MORE THAN \$50,000 OR IMPRISONMENT FOR NOT MORE THAN FIVE YEARS OR BOTH.**

**THE FOREGOING CLAIM IS TRUE AND ACCURATE TO THE BEST OF MY INFORMATION AND BELIEF.**

Date 1/29/09 Signature *Alan R. Picard*  
Date \_\_\_\_\_ Signature \_\_\_\_\_

(If ownership of the account is shared, all must sign above. Give each owner's name, address, phone number, and extent of ownership on a signed separate sheet. If other than a personal account, e.g., corporate, trustee, custodian, etc., also state your capacity and authority. Please supply the trust agreement or other proof of authority.)

**This customer claim form must be completed and mailed promptly, together with supporting documentation, etc. to:**

Irving H. Picard, Esq.,  
Trustee for Bernard L. Madoff Investment Securities LLC  
Claims Processing Center  
2100 McKinney Ave., Suite 800  
Dallas, TX 75201

# **EXHIBIT A**





**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York ☐ London

885 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343  
Fax (212) 838-4061

**MELTON FAMILY LLC**  
20 BALFOUR DRIVE  
WEST HARTFORD CT 06117

YOUR ACCOUNT NUMBER  
1-ZA894-3-0

PERIOD ENDING  
11/30/08  
YOUR TAX PAYER IDENTIFICATION NUMBER  
\*\*\*\*\*1416

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Affiliated with  
Madoff Securities International Limited  
12 Berkeley Street  
Mayfair, London W1J 8DT  
Tel 020 7493 6222

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
1/12	2,016		1246	BALANCE FORWARD	29.000	139,436.95	
1/12	1,440		1748	WELLS FARGO & CO NEW	34.900	60,156.80	
1/12	1,248		5572	HEWLETT PACKARD CO	55.830	50,313.00	
1/12	816		6074	WAL-MART STORES INC	87.270	69,724.84	
1/12	3,024		9898	INTERNATIONAL BUSINESS MACHS	72.880	71,244.32	
1/12	3,312		10400	EXXON MOBIL CORP	14.510	220,509.12	
1/12	1,584		14726	INTEL CORP	59.580	48,189.12	
1/12	2,160		19051	JOHNSON & JOHNSON	38.530	94,437.72	
1/12	1,152		23377	J.P. MORGAN CHASE & CO	44.660	83,310.80	
1/12	672		27703	COCA COLA CO	55.370	51,494.32	
1/12	1,248		32029	MCDONALDS CORP	28.350	37,234.64	
1/12	4,560		36355	MERCK & CO	21.810	35,619.40	
1/12	2,304		40681	MICROSOFT CORP	17.300	99,635.60	
1/12	912		53659	ORACLE CORPORATION	56.410	39,951.20	
1/12	528		54161	PEPSICO INC	100.780	51,481.92	
1/12	3,888		57985	APPLE INC	16.940	53,232.84	
1/12	912		58487	PEIZER INC	54.610	66,017.72	
1/12	1,728		62311	ABBOTT LABORATORIES	64.080	49,860.32	
1/12	624		62813	PROCTER & GAMBLE CO	59.160	110,799.24	
1/12	1,200		66637	AMGEN INC	43.600	36,939.84	
1/12	2,880		67139	PHILLIP MORRIS INTERNATIONAL	21.590	52,368.00	
1/12	960		70963	BANK OF AMERICA	33.770	62,294.20	
1/12	3,120		71465	QUALCOMM INC	12.510	32,457.20	
				CITI GROUP INC		39,155.20	
				CONTINUED ON PAGE 2			

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES



MADE INVESTMENT SECURITIES LLC  
New York London

(212) 230-2424  
800 334-1343  
Fax (212) 838-4061

REGISTRATION NUMBER: 11-00-0000  
Tel 020 7193 6222

MELTON FAMILY LLC

20 BALFOUR DRIVE CT 06117  
WEST HARTFORD

YOUR ACCOUNT NUMBER  
1-2A894-3-0

PERIOD ENDING  
11/30/08

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YOUR TAX EXEMPT IDENTIFICATION NUMBER  
\*\*\*\*\*1416

DATE	BOUGHT RECEIVED ON LONG	SOLD DELIVERED ON SHORT	TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/19		42,883	53850	FIDELITY SPARTAN U S TREASURY MONEY MARKET	1	174,870.50	42,883.00
11/19	175,000		58304	U S TREASURY BILL DUE 03/26/2009	99.926	11,826.00	
11/19	11,826		62886	FIDELITY SPARTAN U S TREASURY MONEY MARKET	1	273,548.57	
				NEW BALANCE			
				SECURITY POSITIONS			
				AT&T INC	MKT PRICE		
				ABBOTT LABORATORIES	28.560		
				AMGEN INC	52.390		
				APPLE INC	55.540		
				BANK OF AMERICA	92.670		
				CHEVRON CORP	16.250		
				CISCO SYSTEMS INC	79.010		
				CITI GROUP INC	16.540		
				COCA COLA CO	8.290		
				COMCAST CORP	46.870		
				CL A	17.340		
				CONCOPHILIPS	52.520		
				EXXON MOBIL CORP	80.150		
				GENERAL ELECTRIC CO	17.170		
				CONTINUED ON PAGE 4			

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**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York ☐ London

835 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343  
Fax (212) 838-4061

12 Berkeley Street  
Mayfair, London W1J 8DT  
Tel 020 7493 6222

MELTON FAMILY LLC

20 BALFOUR DRIVE  
WEST HARTFORD

CT 06117

YOUR ACCOUNT NUMBER  
1-74894-3-0

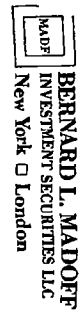
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YOUR TAX PAYER IDENTIFICATION NUMBER  
\*\*\*\*\*1416

DATE	BOUGHT ACQUIRED ON LONG	SOLD DELIVERED ON SHORT	TIN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT OBTAINED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
	144			GOOGLE	292.960		
	1,440			HEWLETT PACKARD CO	35.280		
	3,312			INTEL CORP	13.800		
	816			INTERNATIONAL BUSINESS MACHS	81.600		
	2,160			J.P. MORGAN CHASE & CO	31.660		
	1,584			JOHNSON & JOHNSON	58.580		
	672			MCDONALDS CORP	58.750		
	1,248			MERCK & CO	26.720		
	4,560			MICROSOFT CORP	20.220		
	2,304			ORACLE CORPORATION	16.090		
	912			PEPSICO INC	56.700		
	3,888			PFIZER INC	16.430		
	1,200			PHILLIP MORRIS INTERNATIONAL	42.160		
	1,728			PROCTER & GAMBLE CO	64.350		
	960			QUALCOMM INC	33.570		
	720			SCHLUMBERGER LTD	50.740		
	11,826			FIDELITY SPARTAN	1		
	1,008			U S TREASURY MONEY MARKET	26.980		
	576			U S BANCORP	57.600		
	175,000			UNITED PARCEL SVC INC	99.971		
				CLASS B			
				U S TREASURY BILL			
				DUE 03/26/2009			
				3/26/2009			
				UNITED TECHNOLOGIES CORP	48.530		
	576			CONTINUED ON PAGE 5			

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DATE	BOUGHT RECEIVED ON LONG	SOLD DELIVERED ON SHORT	TIN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
	1*632			VERIZON COMMUNICATIONS	32.650		
	1*248			MAL-MART STORES INC	55.880		
	2*016			WELLS FARGO & CO NEW	28.890		
				MARKET VALUE OF SECURITIES			
				LONG			
				2,301,573.17			
				SHORT			

**BERNARD L. MADOFF**  
INVESTMENT SECURITIES LLC  
New York ☐ London

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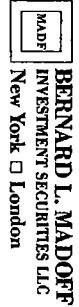
YOUR TAX PAYER IDENTIFICATION NUMBER  
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mayfield securities llc  
Tel 020 7493 6222

DATE	BOUGHT RECEIVED OR LONG	SOLD DELIVERED OR SHORT	T-TRN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
				YEAR-TO-DATE SUMMARY DIVIDENDS GROSS PROCEEDS FROM SALES			15,807.62 13,104,812.05

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES



885 Third Avenue  
New York, NY 10022  
(212) 230-2424  
800 334-1343  
Fax (212) 838-4061

Affiliated with  
Madoff Securities International Limited  
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Mayfair, London W1J 8DT  
Tel 020 7493 6222

MELTON FAMILY LLC

20 BALFOUR DRIVE  
WEST HARTFORD

CT 06117

YOUR ACCOUNT NUMBER  
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DATE	BOUGHT RECEIVED OR LONG	SOLO DELIVERED OR SHORT	TIN	DESCRIPTION	PRICE OR SYMBOL	AMOUNT DEBITED TO YOUR ACCOUNT	AMOUNT CREDITED TO YOUR ACCOUNT
11/12		48	45007	BALANCE FORWARD	15.800		139,437.00
11/12	48		49333	S & P 100 INDEX NOVEMBER 450 CALL	17.800	85,468.00	75,792.00
11/19		48	35437	S & P 100 INDEX DECEMBER 430 CALL	26		124,752.00
11/19	48		39762	S & P 100 INDEX DECEMBER 420 PUT	30	144,048.00	
11/19		48	44087	S & P 100 INDEX NOVEMBER 460 CALL	3	14,448.00	177,552.00
11/19		48	48412	S & P 100 INDEX NOVEMBER 450 PUT	37		273,549.00
				NEW BALANCE			
				SECURITY POSITIONS			
				S & P 100 INDEX	MKT PRICE		
				DECEMBER 430 CALL	23.300		
				S & P 100 INDEX	16.500		
				DECEMBER 420 PUT			
	48	48		MARKET VALUE OF SECURITIES			
				LONG		79,200.00	
				SHORT			111,840.00

PLEASE RETAIN THIS STATEMENT FOR INCOME TAX PURPOSES

# **EXHIBIT B**

**EXHIBIT B:**

1. This Claim Form, exhibits, and supporting documentation (collectively "Claim Form") is submitted pursuant to the December 23, 2008 Order of the Honorable Burton R. Lifland and the instructions disseminated by Irving H. Picard, Trustee for Bernard L. Madoff Investment Securities LLC ("Trustee"), on December 11, 2008.
2. The information provided in the Claim Form is based on information provided in the Claimant's latest Madoff account statement and additional information known by the Claimant as of the date of the submission of the Claim Form. The Claimant reserves the right to amend and/or supplement this Claim Form upon the receipt of further information, or upon request by the Trustee for additional information.
3. The Claimant reserves the right to amend the Claim Form in the event of any recoveries by the Trustee or any other party under the avoidance powers of the Bankruptcy Code or otherwise, or in the event of rejections of executory contracts pursuant to Bankruptcy Code Section 365, whether such amendments are made pursuant to Bankruptcy Code Sections 105, 502(g), or 502(h), Bankruptcy Rule 3002(c)(3), (4), other provisions of applicable bankruptcy law, or general principles of law or equity.
4. The Claimant hereby requests that the Claim Form be considered as a proof of claim in *In re Bernard L. Madoff Investment Securities LLC*, No. 08-01789 (Bankr. S.D.N.Y.).
5. This Claim Form is required to be submitted pursuant to the Court's January 2, 2009 Order and the Trustee's instructions to the Claimant. To the extent permitted by applicable law, the Claimant does not, by submitting the Claim Form, consent to the jurisdiction of the Bankruptcy Court nor does Claimant waive any right to trial by jury.
6. The Claimant reserves all rights, claims, and/or defenses as to and/or against any and all parties potentially liable for the losses sustained by the Claimant, including, without limitation, Bernard L. Madoff Investment Securities LLC and its owners, partners, employees, and affiliates, as well as any potentially liable third parties including, without limitation, investment advisors, "feeder funds," accountants, and auditors.
7. The Claimant further reserves all rights, claims, and/or defenses as to and/or against any persons and/or creditors asserting claims against Bernard L. Madoff Investment Securities LLC, its employees, owners, and/or affiliates, in bankruptcy or otherwise.
8. The Claimant reserves all objections as to the competence, relevance, materiality, privilege, or admissibility of evidence in any subsequent proceeding or trial of this or any other action for any purpose whatsoever, notwithstanding the submission of any such information to the Trustee.
9. To the extent the Claimant has disclosed to the Trustee documents containing accounting and/or legal advice, the Claimant does not waive any potential privileges applicable thereto.

10. The Claimant reserves all rights with respect to submitting information to the Internal Revenue Service regarding gains, losses, and/or theft of assets.
11. The Claim Form and supporting documents contain confidential information. The Claimant submits this information to the Trustee subject to the condition that this information will not to be disclosed to any third parties, other than under seal to the Court, absent the Claimant's express consent or Court order.
12. The Claimant submits herewith documents in support of the Claimant's claim, including documents containing information regarding account transactions, such as contributions and/or withdrawals. The Claimant reserves any arguments that such documents are not relevant to the Trustee's inquiry. The Claimant further reserves the right to supplement this submission, including the submission of additional documents, if deemed necessary. Below is a list of the documents submitted herewith:

**2002:**

- Madoff Account Statement for period ending 12/31

**2003:**

- Madoff Account Statement for period ending 12/31

**2004:**

- Madoff Account Statement for period ending 12/31

**2005:**

- Madoff Account Statement for period ending 12/31

**2006:**

- Madoff Account Statement for period ending 12/31

**2007:**

- Madoff Account Statement for period ending 12/31
- Operating Agreement of Melton Family LLC

**2008:**

- Madoff Account Statement for period ending 11/30